

12/13/01  
J1048 U.S. PTO

12-20-01

A

Practitioner's Docket No. 939\_035

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on December 13, 2001 under "EXPRESS MAIL" mailing label number EV 002152009 U.S.

Gina M. Husak

J1002 U.S. PTO  
10/022161

12/13/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO  
Address: c/o NGK Insulators, Ltd.  
2-56, Suda-cho, Mizuho-ku  
Nagoya-shi, Aichi-ken 467-8530  
JAPAN

Inventor 2: Masashi FUKUYAMA  
Address: c/o NGK Insulators, Ltd.  
2-56, Suda-cho, Mizuho-ku  
Nagoya-shi, Aichi-ken 467-8530  
JAPAN

Title: FIBER ARRAY, AND WAVEGUIDE DEVICE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 15 Page(s) of Specification
- 1 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Drawings (Figs. 1A-4B)

B. Other Papers Enclosed

☒ A Patent Application Bibliographic Data

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of:

Japanese Applications 2000-380900 and 2001-346559 filed December 14, 2000 and November 12, 2001, respectively.

☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.  
2-56, Suda-cho, Mizuho-ku  
Nagoya-shi, Aichi-ken 467-8530  
JAPAN

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

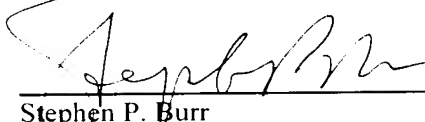
5. The filing fee has been calculated as shown below:

<b>Basic Filing fee</b> (37 CFR §1.16(a))				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	2 - 20 =	0	× \$ 18.00	\$ .00
Independent Claims	2 - 3 =	0	× \$ 84.00	\$ .00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ .00
<b>Surcharge fees</b>				
<input checked="" type="checkbox"/> late payment of filing fee (37 C.F.R. 1.16(e)--\$130.00)				\$ 130.00
and/or				
<input checked="" type="checkbox"/> late filing of original declaration or oath (37 C.F.R. 1.16(e)--\$130.00)				\$ .00
<small>NOTE If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).</small>				
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ .00
<b>SUBTOTAL =</b>				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				\$ .00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))				\$ 40.00
<b>TOTAL FEES DUE =</b>				\$ 910.00

**6. Payment of Fees and Authorization to Charge Additional Fees  
or Credit Overpayment**

- ☐ A check in the amount of is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
  - ☐ Any additional filing fees required under 37 CFR 1.16.
  - ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

December 13, 2001  
Date

SPB/gmh

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320